



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/099,865	03/13/2002	William C. Lincoln	279.424US1

CONFIRMATION NO. 4469

21186  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

FORMALITIES LETTER



\*OC000000007945728\*

Date Mailed: 04/24/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

07/03/2002 SDENR081 00000040 10099865  
01 FC:101 740.00 OP  
02 FC:102 84.00 OP  
03 FC:103 1062.00 OP  
04 FC:105 130.00 OP

20070429 59365001

- Additional claim fees of **\$1146** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$2016** for a Large Entity

- **\$740** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$1146**
  - **\$1062** for **59** total claims over 20.
  - **\$84** for **1** independent claims over 3.

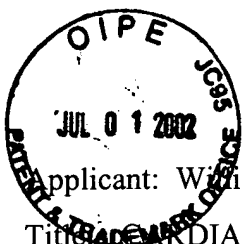
*A copy of this notice **MUST** be returned with the reply.*

*Janayle A.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2010/02/05 09:05:00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#  
3

Applicant: William C. Lincoln et al.

Title: CARDIAC RHYTHM MANAGEMENT SYSTEM AND METHOD USING TIME BETWEEN  
MITRAL VALVE CLOSURE AND AORTIC EJECTION

Docket No.: 279.424US1

Serial No.: 10/099,865

Filed: March 13, 2002

Due Date: June 24, 2002

Examiner:

Group Art Unit: 3762

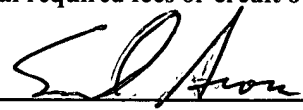
Attn: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

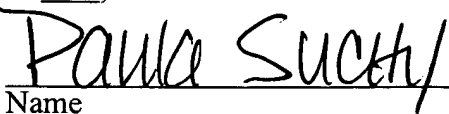
- ☒ A return postcard.
- ☒ A check in the amount of \$130.00 to cover the large entity surcharge.
- ☒ A check in the amount of \$1,886.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A signed Combined Declaration and Power of Attorney ( 3 pgs.).
- ☒ Notice to File Missing Parts (2 pgs.)
- ☒ Communication Re: Corrected Application Papers (1 pg.)
- ☒ Formal Drawings (5 pgs.).

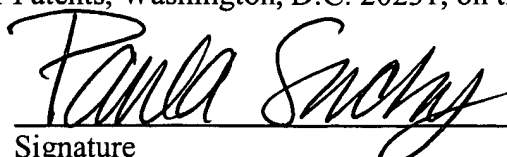
If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By:   
Name: Suneel Arora  
Reg. No. 42,267  
SA:CMG:pms

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 24 day of June, 2002.

  
Name

  
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William C. Lincoln et al. Examiner:  
Serial No.: 10/099,865 Group Art Unit: 3762  
Filed: March 13, 2002 Docket: 279.424US1  
Title: CARDIAC RHYTHM MANAGEMENT SYSTEM AND METHOD USING TIME  
BETWEEN MITRAL VALVE CLOSURE AND AORTIC EJECTION

COMMUNICATION RE: CORRECTED APPLICATION PAPERS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" which indicated "Corrected Papers" additionally required, enclosed are five pages of formal drawings.

Applicants assume the application is now in proper order and in condition for examination.  
Please direct any inquiries to the undersigned attorney at (612) 373-6951.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No.  
19-0743.

Respectfully submitted,

WILLIAM C. LINCOLN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6951

Date June 24, 2002

By

Suneel Arora

Reg. No. 42,267

SA:CMG:pms

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 24 day of June, 2002.

Paula Sucky  
Name

Paula Sucky  
Signature